

ARITHMETIC TORELLI MAPS FOR CUBIC SURFACES AND THREEFOLDS

JEFFREY D. ACHTER

ABSTRACT. It has long been known that to a complex cubic surface or threefold one can canonically associate a principally polarized abelian variety. We give a construction which works for cubics over an arithmetic base, and in particular identifies the moduli space of cubic surfaces with an open substack of a certain moduli space of abelian varieties. This answers, away from the prime 2, an old question of Deligne and a recent question of Kudla and Rapoport.

1. INTRODUCTION

Consider a complex cubic surface. By associating to it either a K3 surface [14] or a cubic threefold [1, 2], one can construct a polarized Hodge structure. The Hodge structures which arise are parametrized by \mathbb{B}^4 , the complex 4-ball, and in this way one can show that the moduli space $\mathcal{S}_{\mathbb{C}}$ of complex cubic surfaces is uniformized by \mathbb{B}^4 .

It turns out that the relevant arithmetic quotient of \mathbb{B}^4 is the set of complex points of \mathcal{M} , the moduli space of abelian fivefolds with action by $\mathbb{Z}[\zeta_3]$ of signature $(4, 1)$, and there is a Torelli map $\tau_{\mathbb{C}} : \mathcal{S}_{\mathbb{C}} \rightarrow \mathcal{M}_{\mathbb{C}}$. The main goal of the present paper is to construct (Proposition 4.5) a Torelli morphism

$$\mathcal{S} \xrightarrow{\tau} \mathcal{M},$$

actually an open immersion of stacks over $\mathbb{Z}[\zeta_3, 1/6]$, which specializes to $\tau_{\mathbb{C}}$. This answers (away from the prime 2) a conjecture of Kudla and Rapoport [17, Conj. 15.9], who recently showed that $\tau_{\mathbb{C}}$ descends to a morphism $\tau_{\mathbb{Q}(\zeta_3)}$ of stacks over $\mathbb{Q}(\zeta_3)$.

The complex Torelli map $\tau_{\mathbb{C}}$ is not literally a period map for cubic surfaces; indeed, the Hodge theory of a complex cubic surface Y is essentially trivial. Instead, one associates to Y the cubic *threefold* Z which is the cyclic cubic cover of \mathbb{P}^3 ramified along Y , and computes the intermediate Jacobian of Z .

Consequently, a key step in understanding τ is to show that if Z/S is a smooth cubic threefold over a normal base, then one can canonically construct a principally polarized abelian scheme $P(Z) \rightarrow S$ of relative dimension five. This construction, which relies on a universal property of Prym

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varieties over algebraically closed fields due to Beauville, Mumford and Murre, resolves (Corollary 3.4) a special case of a conjecture of Deligne [11, 3.3].

Moreover, let \mathcal{S}_{st} be the moduli space of stable cubic surfaces. Then τ extends (Theorem 5.7) to a homeomorphism of stacks $\mathcal{S}_{\text{st}} \rightarrow \mathcal{M}$ which takes $\mathcal{S}_{\text{st}} \setminus \mathcal{S}$ to an irreducible horizontal divisor of \mathcal{M} . This result extends earlier work on the image of the boundary of $\mathcal{S}_{\text{st},\mathbb{C}}$ [2, 18] to the present arithmetic context.

After establishing notation in Section 2, in Section 3 we construct a period morphism $\tilde{\omega} : \tilde{\mathcal{T}} \rightarrow \mathcal{A}_5$ from the space of smooth cubic forms in five variables to the moduli space of abelian fivefolds. This is used in Section 4 to define the morphism of $\mathbb{Z}[\zeta_3, 1/6]$ -stacks $\tau : \mathcal{S} \rightarrow \mathcal{M}$. (The reader is invited to consult diagram 4.2.1 to see how these morphisms fit together.) In Section 5, we characterize the image of τ and ultimately extend the domain of τ to the moduli space of semistable cubic surfaces.

The existence of an open immersion $\mathcal{S} \hookrightarrow \mathcal{M}$ over $\mathbb{Z}[\zeta_3, 1/6]$ means that information about the arithmetic and geometry of abelian varieties can be transported to the setting of cubic surfaces. In a companion work, the author exploits this connection in order to classify the abelian varieties which arise as intermediate Jacobians of cubic threefolds attached to cubic surfaces.

2. MODULI SPACES

Let \mathcal{O}_E be the ring of Eisenstein integers $\mathbb{Z}[\zeta_3]$, and let $E = \mathbb{Q}(\zeta_3)$. In Sections 2.1 and 3, all constructions are over $\mathbb{Z}[1/2]$; elsewhere, all spaces are objects over $\mathcal{O}_E[1/6]$. The present section establishes notation containing moduli spaces of cubic surfaces and threefolds (2.1) and abelian varieties (2.2).

2.1. Cubics. Let $\tilde{\mathcal{S}} \subset H^0(\mathbb{P}^3, \mathcal{O}_{\mathbb{P}^3}(3))$ be the space of smooth cubic forms in four variables. Similarly, let $\tilde{\mathcal{S}}_{\text{st}}$ and $\tilde{\mathcal{S}}_{\text{ss}}$ be the spaces of stable and semistable cubic forms, respectively, in the sense of GIT [23, Sec. 4.2]. Then $\tilde{\mathcal{S}}_{\text{st}} \setminus \tilde{\mathcal{S}}$ is a horizontal divisor, while $\tilde{\mathcal{S}}_{\text{ss}} \setminus \tilde{\mathcal{S}}_{\text{st}}$ forms a single orbit under the action of SL_4 .

Let $\mathcal{S} = \tilde{\mathcal{S}}/\text{SL}_4$ be the moduli stack of smooth projective cubic surfaces, and similarly define \mathcal{S}_{st} and \mathcal{S}_{ss} . The coarse moduli space $\underline{\mathcal{S}}_{\text{ss}}$ of \mathcal{S}_{ss} is a normal projective scheme. Let $g : \mathcal{Y} \rightarrow \mathcal{S}$ be the tautological cubic surface over \mathcal{S} . We will slightly abuse notation and also let $\mathcal{Y} \rightarrow \tilde{\mathcal{S}}$ be the universal cubic surface over $\tilde{\mathcal{S}}$, thought of as a subscheme of $\mathbb{P}_{\tilde{\mathcal{S}}}^3$.

We follow [18, Sec. 2] for the notion of marked cubic surface used here.

Recall that if Y/K is a cubic surface over an algebraically closed field, then there are 27 lines on Y . Let $\Lambda(Y)$ be the simple graph with a vertex $[L]$ for each line L on Y , where $[L]$ and $[L']$ are adjacent if and only if L and L' have nontrivial intersection.

It is known that $\Lambda(Y)$ is isomorphic to the abstract graph Λ_0 defined as follows. For $1 \leq i \leq 6$, there are vertices e_i and c_i , and for $1 \leq j < k \leq 6$ there is a vertex ℓ_{jk} . Then e_i and c_j are adjacent if $i \neq j$; each of e_i and c_i is adjacent to ℓ_{jk} if $i \in \{j, k\}$; ℓ_{ij} and ℓ_{km} are adjacent if $\{i, j\} \cap \{k, m\} = \emptyset$; and these are the only adjacencies in Λ_0 .

A marking of Y is a graph isomorphism $\Psi : \Lambda(Y) \rightarrow \Lambda_0$. We briefly indicate how such a marking may be constructed. Realize Y as the blowup $Y \rightarrow \mathbb{P}^2$ of the projective plane at 6 points P_1, \dots, P_6 . Let E_i be the inverse image of P_i ; for $1 \leq i < j \leq 6$ let L_{ij} be the strict transform of the line connecting P_i and P_j ; and let C_i be the strict transform of the conic through $\{P_1, \dots, P_6\} \setminus P_i$. Then the rule $[E_i] \mapsto e_i$, $[L_{ij}] \mapsto \ell_{ij}$, $[C_i] \mapsto c_i$ is a marking of Y .

The automorphism group of Λ_0 is $W = W(E_6)$, the Weyl group of the exceptional root system E_6 , and thus the set of markings on a fixed cubic surface is a torsor under W . Moreover, an automorphism of a surface which fixes a marking is necessarily the identity [27, Prop. 1.1]. So, let \mathcal{S}^m be the moduli space of marked cubic surfaces (Y, Ψ) . Then \mathcal{S}^m is (represented by) a smooth quasiprojective scheme, and under the forgetful map we have $\mathcal{S} = \mathcal{S}^m / W$.

Let $\mathcal{S}_{\text{st}}^m$ be the normalization of \mathcal{S}_{st} in \mathcal{S}^m , and similarly define $\mathcal{S}_{\text{ss}}^m$. (In [2], the complex fiber $\mathcal{S}_{\text{st}, \mathbb{C}}^m$ is constructed as a certain Fox completion; but these two notions coincide [12, 8.1].) Then $\mathcal{S}_{\text{ss}}^m$ is a normal projective scheme.

Finally, let $\tilde{\mathcal{T}} \subset H^0(\mathbb{P}^4, \mathcal{O}_{\mathbb{P}^4}(3))$ be the space of smooth cubic forms in five variables, and let $\mathcal{T} = \tilde{\mathcal{T}} / \text{SL}_5$ be the space of smooth projective cubic threefolds. Let $h : \mathcal{Z} \rightarrow \mathcal{T}$ denote both the tautological cubic threefold over \mathcal{T} and its pullback to $\tilde{\mathcal{T}}$.

2.2. Abelian varieties. We work in the category of $\mathcal{O}_E[1/6]$ -schemes. In particular, each scheme S comes equipped with a morphism $\iota : \mathcal{O}_E \rightarrow \mathcal{O}_S$. Let $\bar{\iota} : \mathcal{O}_E \rightarrow \mathcal{O}_S$ be the composition of the involution of \mathcal{O}_E and the morphism ι . Then $\mathcal{O}_S \otimes \mathcal{O}_E \cong \mathcal{O}_S \oplus \mathcal{O}_S$, where \mathcal{O}_E acts on the first copy of \mathcal{O}_S via ι and on the second by $\bar{\iota}$. Under this decomposition, any locally free sheaf \mathcal{F} of $\mathcal{O}_S \otimes \mathcal{O}_E$ -modules is a direct sum of two locally free \mathcal{O}_S -modules of ranks r and s . The pair (r, s) is called the signature of \mathcal{F} .

Let $\mathcal{M} = \mathcal{M}_{(4,1)}$ be the moduli stack of principally polarized abelian fivefolds equipped with an \mathcal{O}_E -action of signature $(4, 1)$. More precisely, $\mathcal{M}(S)$ consists of triples (X, ι, λ) , where $X \rightarrow S$ is an abelian scheme of relative dimension five; $\iota : \mathcal{O}_E \rightarrow \text{End}_S(X)$ is an action of \mathcal{O}_E such that $\text{Lie}(X)$ has signature $(4, 1)$; and λ is a principal polarization compatible with the action of \mathcal{O}_E . For each prime ℓ invertible on S , the principal polarization λ induces a skew-symmetric unimodular form on the ℓ -adic Tate module, $\langle \cdot, \cdot \rangle_\lambda : T_\ell X \times T_\ell X \rightarrow \mathbb{Z}_\ell(1)$. The compatibility of λ and ι may be expressed by insisting that for $x, y \in T_\ell X$ and $a \in \mathcal{O}_E$, $\langle \iota(a)x, y \rangle_\lambda = \langle x, \bar{\iota}(a)y \rangle_\lambda$. The forgetful morphism $j : \mathcal{M} \rightarrow \mathcal{A}_5$ is an embedding, since an abelian fivefold

admits at most one $\mathbb{Z}[\zeta_3]$ -action of signature $(4, 1)$. Let $f : \mathcal{X} \rightarrow \mathcal{A}_5$ denote both the tautological abelian scheme over \mathcal{A}_5 and its pullback via j to \mathcal{M} .

For our construction of the Torelli map, we will need the notion of a certain kind of level structure on an \mathcal{O}_E -abelian variety. For a rational prime ℓ , let $\mathcal{O}_{E,\ell} = \mathcal{O}_E \otimes \mathbb{Z}_\ell$; then $\mathcal{O}_{E,\ell}$ is a degree two \mathbb{Z}_ℓ -algebra with involution, and $T_\ell X$ is free of rank five over $\mathcal{O}_{E,\ell}$. From general theory (e.g., [17, 2.3]), there exists a unique unimodular $\mathcal{O}_{E,\ell}$ -Hermitian form $h_\lambda : T_\ell X \times T_\ell X \rightarrow \mathcal{O}_{E,\ell}(1)$ on $T_\ell X$ such that, for $x, y \in T_\ell X$, $\langle x, y \rangle_\lambda = \text{tr}_{\mathcal{O}_{E,\ell}/\mathbb{Z}_\ell}(h_\lambda(x, y)/\sqrt{-3})$.

Apply these considerations in the special case $\ell = 3$, and reduce h_λ modulo $(1 - \zeta_3)$. Note that $\mathcal{O}_{E,3}/(1 - \zeta_3) \cong \mathcal{O}_E/(1 - \zeta_3) \cong \mathbb{F}_3$; that $T_\ell X \otimes \mathcal{O}_{E,\ell}/(1 - \zeta_3)$ is a five-dimensional vector space over the residue field \mathbb{F}_3 ; and that the choice of ζ_3 yields an isomorphism $(\mathcal{O}_{E,\ell}/(1 - \zeta_3))(1) \cong \mathbb{F}_3$. Suppose that $X[1 - \zeta_3]$, the $(1 - \zeta_3)$ -torsion subscheme of X , is split over S , so that $X[1 - \zeta_3](S) \cong \mathbb{F}_3^{\oplus 5}$. (For an arbitrary \mathcal{O}_E -abelian scheme, this will only happen after étale extension of the base.) Then λ induces a perfect pairing

$$X[1 - \zeta_3](S) \times X[1 - \zeta_3](S) \xrightarrow{\bar{h}_\lambda} \mathbb{F}_3.$$

Since the involution of \mathcal{O}_E is trivial modulo $(1 - \zeta_3)$, this Hermitian pairing is actually an orthogonal form on $X[1 - \zeta_3](S)$.

Let (V_0, q_0) be a five-dimensional vector space over \mathbb{F}_3 equipped with a perfect orthogonal form q_0 . A $(1 - \zeta_3)$ -level structure on (X, ι, λ) is an isomorphism $\Phi : (V_0, q_0) \rightarrow (X[1 - \zeta_3](S), \bar{h}_\lambda)$ of quadratic spaces; equivalently, it is a choice of basis on $X[1 - \zeta_3](S)$ which is orthonormal for \bar{h}_λ .

Let $\mathcal{M}^{(1-\zeta_3)}$ be the moduli stack of principally polarized \mathcal{O}_E -abelian varieties with level $(1 - \zeta_3)$ structure, i.e., of quadruples $(X, \iota, \lambda, \Phi)$. The usual Serre lemma shows that any automorphism of (X, ι, λ) which fixes Φ is necessarily the identity automorphism, so $\mathcal{M}^{(1-\zeta_3)}$ is a smooth quasiprojective scheme.

The orthogonal group $\text{O}(V_0, q_0)$ acts on the set of $(1 - \zeta_3)$ -level structures on (X, ι, λ) . Moreover, the center of $\text{O}(V_0, q_0)$ acts trivially on such level structures (e.g., [18, Rem. 4.2]), so that the forgetful map $\mathcal{M}^{(1-\zeta_3)} \rightarrow \mathcal{M}$ has covering group $\text{O}(V_0, q_0)/\{\pm 1\} = \text{PO}(V_0, q_0)$. From the description of \mathbb{W} as the automorphism group of the Euclidean lattice E_6 , one can extract an inclusion $\mathbb{W} \rightarrow \text{O}(V_0, q_0)$ such that the composition $\mathbb{W} \hookrightarrow \text{O}(V_0, q_0) \rightarrow \text{PO}(V_0, q_0)$ is an isomorphism.

Let $\bar{\mathcal{M}}$ be the minimal compactification of \mathcal{M} , and let $\bar{\mathcal{M}}^{(1-\zeta_3)}$ be the normalization of $\bar{\mathcal{M}}$ in $\mathcal{M}^{(1-\zeta_3)}$. The coarse moduli space $\underline{\bar{\mathcal{M}}}$ of $\bar{\mathcal{M}}$ is a normal projective scheme, and $\bar{\mathcal{M}}^{(1-\zeta_3)}$ is itself represented by a normal projective scheme.

3. CUBIC THREEFOLDS

After recalling the Prym construction of the intermediate Jacobian of a cubic threefold over an algebraically closed field (Section 3.1), we use a descent argument to show that this construction works for a cubic threefold over an arbitrary field (Section 3.2), and even over an arbitrary normal Noetherian base scheme (Section 3.3). In particular, we deduce (Corollary 3.4) the existence of an arithmetic Torelli map $\varpi : \tilde{\mathcal{T}} \rightarrow \mathcal{A}_5$. As discussed below, this proves a special case of [11, 3.3]. The main construction of this section requires a theory of relative Prym schemes, which is worked out in Section 3.4

In this section, we work in the category of schemes over $\mathbb{Z}[1/2]$. In particular, we will only consider fields whose characteristic is either zero or odd.

3.1. Algebraically closed fields. Let Z/\mathbb{C} be a cubic threefold. One can associate to Z the intermediate Jacobian $J(Z) = \mathrm{Fil}^2 H^3(Z, \mathbb{C}) \setminus H^3(Z, \mathbb{C}) / H^3(Z, \mathbb{Z})$, a principally polarized abelian fivefold. The map $\tilde{\mathcal{T}}(\mathbb{C}) \rightarrow \mathcal{A}_5(\mathbb{C})$ comes from a complex analytic map $\tilde{\mathcal{T}}_{\mathbb{C}} \rightarrow \mathcal{A}_{5, \mathbb{C}}$.

Alternatively, $J(Z)$ can be constructed without recourse to transcendental methods, using a Prym construction. Briefly, let $L \subset Z$ be a suitably generic line (in the sense of, say, [24, Prop. 1.25]). Projection to the space of planes through L gives Z^* , the blowup of Z along L , a structure of fibration $\omega_L : Z^* \rightarrow \mathbb{P}^2$. The fibers of ω_L are conics, which are smooth outside the discriminant locus $\Delta_L \subset \mathbb{P}^2$. Direct calculation (and the genericity of L) shows that Δ_L is a smooth, irreducible curve of genus 6. Moreover, inside the Fano surface F_Z of lines on Z there is an étale double cover $\tilde{\Delta}_L$ of Δ_L , necessarily of genus 11. There is a norm map $\mathcal{N} : \mathrm{Pic}^0(\tilde{\Delta}_L) \rightarrow \mathrm{Pic}^0(\Delta_L)$ of principally polarized abelian varieties. Let P_L be the connected component of identity of the kernel of \mathcal{N} . By Mumford's theory of the Prym variety, P_L is an abelian variety which inherits a canonical principal polarization from that of $\mathrm{Pic}^0(\tilde{\Delta}_L)$. It turns out that $J(Z)$ is isomorphic to P_L as a principally polarized abelian variety.

The Prym construction is already well-understood over algebraically closed fields of any characteristic (other than two) [4, 24]. The goal of the present section is to show that this construction makes sense in families.

Thanks to Beauville, Mumford and Murre, one knows that Prym varieties over algebraically closed fields have a certain universal property. This property is briefly recalled here; the reader is referred to [4, Sec. 3.2] for precise details.

Let Z/k be a cubic threefold over an algebraically closed field in which 2 is invertible, and as above let Z^* be the blowup of Z along a good line L . Let $A^2(Z)$ be the group of rational equivalence classes of cycles on Z of codimension two which are algebraically equivalent to zero, and define $A^2(Z^*)$ analogously. There is a canonical isomorphism $A^2(Z) \cong A^2(Z^*)$ [25, Lem.

2], and thus an isomorphism of abstract groups $P_L(k) \xrightarrow{\sim} A^2(Z)$ [4, Thm. 3.1]. Inverting this map gives a map $A^2(Z) \xrightarrow{\sim} P_L(k)$ of algebraic origin; following Beauville we will abuse notation somewhat, and write this as $A^2(Z) \xrightarrow{\alpha_L} P_L$. Then P_L is the unique algebraic representative of $A^2(Z)$ [4, Prop. 3.3], in the sense that if X/k is any abelian variety and $A^2(Z) \rightarrow X$ is of algebraic origin, then there is a unique morphism $P_L \rightarrow X$ which makes the expected diagram commute:

$$(3.1.1) \quad \begin{array}{ccc} A^2(Z) & \xrightarrow{\quad} & X \\ & \searrow & \nearrow \\ & P_L & \end{array}$$

In fact, there is a correspondence on $A^2(Z)$ which induces the principal polarization on P_L , and the morphisms in (3.1.1) are of principally polarized abelian varieties.

In particular, the principally polarized abelian variety P_L is independent of the choice of (suitably generic) L , and we will denote this abelian variety by $P(Z)$.

3.2. Arbitrary fields. As a warmup we will show that, given a cubic threefold Z over an arbitrary field K , one can canonically associate to it a principally polarized abelian fivefold over K .

Lemma 3.1. *Let Z/K be a cubic threefold.*

- (a) *If L is a sufficiently generic line on $Z_{\bar{K}}$, then $P_L(Z_{\bar{K}})$ descends to K .*
- (b) *If M is a second sufficiently generic line on $Z_{\bar{K}}$, then there is a canonical isomorphism $P_L(Z) \rightarrow P_M(Z)$ of principally polarized abelian varieties over K .*

Proof. Let $F = F_Z/K$ be the (Fano) variety of lines on Z . Then F is an irreducible smooth surface (e.g., [24, 1.1] or [10, 7.8]). Let $F^{\text{good}} \subset F$ be the dense open subvariety constructed in [24, Prop. 1.25]; it is defined over K . Choose a finite Galois extension K'/K such that there is a line L (with moduli point) in $F^{\text{good}}(K')$. (Membership in F^{good} is the “suitable genericity” referred to in Section 3.1.) The projection $\omega_L : Z_{K'}^* \rightarrow \mathbb{P}^2$ is defined over K' , and thus so is its discriminant locus $\Delta_L \subset \mathbb{P}^2$. In fact, Δ_L is a smooth, projective, absolutely irreducible curve of genus 6.

Inside F , let $\tilde{\Delta}_L$ be the set of (moduli points of) lines which meet L ; then $\tilde{\Delta}_L$ is a smooth, projective absolutely irreducible curve [24, Prop. 1.25.(iv)]. Since L and F are defined over K' , so is $\tilde{\Delta}_L$. Moreover, there is a natural étale double cover $\tilde{\Delta}_L \rightarrow \Delta_L$; the fiber over a point of Δ_L consists of the two lines of the degenerate conic fiber over it. The Jacobians $\text{Pic}^0(\tilde{\Delta}_L)$ and $\text{Pic}^0(\Delta_L)$ are also defined over K' , and the Prym variety P_L is a principally

polarized abelian variety over K' (Lemma 3.7). We will use Galois descent (e.g., [8, Sec. 6.2.B] or [20, Thm. 6.23]) to show that these objects are actually defined over K .

Suppose $\sigma \in \text{Gal}(K'/K)$. Then $\sigma L := L \times_{K', \sigma} K'$ is also in $F^{\text{good}}(K')$, and σP_L may be calculated as $\sigma P_L = P_{\sigma L}$.

Let $N \geq 3$ be invertible in K ; if necessary, enlarge K' so that K' is still Galois over K , but contains the field of definition of all N -torsion of each $P_{\sigma L}$. (Note that this does not enlarge the set of conjugates of P_L under $\text{Gal}(K^{\text{sep}}/K)$.)

The universal property of Prym varieties (3.1.1) implies that, for $\sigma \in \text{Gal}(K'/K)$, there is a canonical isomorphism $\beta_{\sigma, \bar{K}} : P_{\sigma L, \bar{K}} \xrightarrow{\sim} P_{L, \bar{K}}$ of principally polarized abelian varieties over \bar{K} compatible with the maps $\alpha_{\sigma L, \bar{K}} : A^2(Z_{\bar{K}}) \rightarrow P_{\sigma L, \bar{K}}$ and $\alpha_{L, \bar{K}} : A^2(Z_{\bar{K}}) \rightarrow P_{L, \bar{K}}$. Since K' includes the field of definition of the N -torsion of each $P_{\sigma L}$, the isomorphism $\beta_{\sigma, \bar{K}}$ is actually defined over K' [31, Thm. 2.4]. Moreover, because $P_{\sigma L} = \sigma P_L$, we obtain canonical isomorphisms $\beta_{\sigma} : \sigma P_L \xrightarrow{\sim} P_L$. In particular, if $\sigma, \tau \in \text{Gal}(K'/K)$, then (again by universality) the following diagram commutes:

$$\begin{array}{ccc} \sigma\tau P_L & \xrightarrow{\beta_{\sigma\tau}} & P_L \\ & \searrow \sigma\beta_{\tau} \quad \nearrow \beta_{\sigma} & \\ & \sigma P_L & \end{array}$$

Therefore, the data $\{\beta_{\sigma} : \sigma \in \text{Gal}(K'/K)\}$ defines a K'/K descent datum on P_L . Because P_L is projective, this descent datum is effective, and P_L is defined over K . This proves (a).

The second part follows again from Galois descent. As above, let K' be a finite, Galois extension of K such that L , M and the N -torsion of the associated Pryms are all defined over K' . Then for each $\sigma \in \text{Gal}(K'/K)$ there is a canonical isomorphism $P_{\sigma L}(Z_{\bar{K}}) \xrightarrow{\sim} P_{\sigma M}(Z_{\bar{K}})$; this system descends to the desired isomorphism $P_L(Z) \xrightarrow{\sim} P_M(Z)$. \square

Consequently, if Z/K is a cubic threefold over a field, one may canonically associate to it a principally polarized abelian fivefold, which will be simply denoted $P(Z)$.

Remark 3.2. Murre has shown [26, Thm. 7] that $P(Z_{\bar{K}})$ is isomorphic to the Albanese variety of $F_{Z_{\bar{K}}}$. This, combined with the general fact that an Albanese variety exists over an arbitrary base field, gives an alternative proof of Lemma 3.1. However, the techniques used here will be deployed again over a more general base scheme in Theorem 3.3, while relative Albanese schemes are not known to exist in full generality.

3.3. Arbitrary base. In fact, the Prym construction makes sense for a cubic threefold over an arbitrary normal Noetherian base.

Theorem 3.3. *Let S be a normal Noetherian scheme over $\mathbb{Z}[1/2]$, and let $Z \rightarrow S$ be a relative smooth cubic threefold. There is a principally polarized abelian scheme $P(Z) \rightarrow S$ such that, for each point $s \in S$, $P(Z)_s \cong P(Z_s)$.*

Proof. By definition, Z comes equipped with an embedding inside a \mathbb{P}^4 -bundle $\mathbb{P}V$ over S . Let $F \rightarrow S$ be the scheme of lines on Z [3, Thm. 3.3]. Then $F \rightarrow S$ is smooth, and there is an open, fiberwise-dense subscheme $F^{\text{good}} \subset F$ such that for $s \in S$, $(F^{\text{good}})_s \cong F_{Z_s}^{\text{good}}$.

Initially, suppose that there is a section of $F^{\text{good}} \rightarrow S$, corresponding to a relative line $L \subset Z \rightarrow S$. Let $G_L \rightarrow S$ be the Grassmann scheme of relative two-planes in $\mathbb{P}V$ which contain L ; note that for $s \in S$, $(G_L)_s \cong \mathbb{P}_s^2$. Let $\Delta_L \subset G_L$ be the (discriminant) subscheme of planes which meet Z in a union of three lines. For $s \in S$, $(\Delta_L)_s = \Delta_{L_s}$, and thus $\Delta_L \rightarrow S$ is a proper smooth irreducible relative curve of genus 6. Similarly, let $\tilde{\Delta}_L \subset F$ be the subscheme of lines which meet L . Then $\tilde{\Delta}_L \rightarrow \Delta_L$ is an étale morphism of degree two, and $\tilde{\Delta}_L \rightarrow S$ is a proper smooth irreducible relative curve of genus 11. By Lemma 3.7, there is a principally polarized complement $P(Z)$ for $\text{Pic}^0(\Delta_L/S)$ inside $\text{Pic}^0(\tilde{\Delta}_L/S)$; $P(Z)$ is the sought-for abelian scheme over S .

Now suppose that $F^{\text{good}} \rightarrow S$ does not admit a section. Since S is normal, every connected component of S is irreducible, and we may assume that S itself is irreducible. Since $F^{\text{good}} \rightarrow S$ is smooth, it admits a section after étale base change. So, let $T \rightarrow S$ be an étale Galois morphism for which there exists a section $L \in F^{\text{good}}(T)$, corresponding to a line in $\mathbb{P}V$ contained in Z_T . The principally polarized abelian scheme $P_L \rightarrow T$ has already been constructed.

The relevant descent argument is now entirely similar to that used in Lemma 3.1. Let η be the (disjoint) union of the generic points of T ; note that each of these points is isomorphic to every other. By Lemma 3.1(b), for each $\sigma \in \text{Aut}(T/S)$ there is a canonical isomorphism $P_{\sigma L, \eta} \xrightarrow{\sim} P_{L, \eta}$. Since T is normal, each of these extends to an isomorphism $P_{\sigma L} \xrightarrow{\sim} P_L$ [15, Prop. I.2.7]. By Galois descent [8, Sec. 6.2.B], P_L descends to S . \square

Corollary 3.4. *There is a morphism $\tilde{\omega} : \tilde{\mathcal{T}} \rightarrow \mathcal{A}_5$ such that, for $t \in \tilde{\mathcal{T}}$, $\mathcal{X}_{\tilde{\omega}(t)} \cong P(Z_s)$.*

Proof. The moduli stack $\tilde{\mathcal{T}}$ is itself represented by a normal Noetherian scheme; now use Theorem 3.3. \square

Deligne has conjectured [11, 3.3] the existence of such an arithmetic Torelli map for any universal smooth complete intersection of (Hodge) level one. (Somewhat more precisely, he proves that the universal intermediate Jacobian attached to the complex fiber descends to \mathbb{Q} , and conjectures that it spreads out to \mathbb{Z} .) Corollary 3.4 proves this conjecture for the universal family of cubic threefolds, at least away from the prime 2. With this fact

supplied, work of Deligne now gives a way to calculate the middle cohomology of the tautological cubic threefold:

Proposition 3.5. *Let $\mathcal{P} = \tilde{\omega}^* \mathcal{X}$ be the relative Prym variety over $\tilde{\mathcal{T}}$, with structural map $j : \mathcal{P} \rightarrow \tilde{\mathcal{T}}$. Recall that $h : \mathcal{Z} \rightarrow \tilde{\mathcal{T}}$ is the tautological smooth cubic threefold.*

- (a) *For each rational prime ℓ , there is an isomorphism of sheaves $R^3 h_* \mathbb{Z}_\ell(1) \xrightarrow{\sim} R^1 j_* \mathbb{Z}_\ell$ on $\tilde{\mathcal{T}}_{\mathbb{Z}[1/2\ell]}$ compatible with the intersection forms;*
- (b) *the canonical isomorphism $H_{\text{dR}}^3(\mathcal{Z}/\tilde{\mathcal{T}}_{\mathbb{C}}) \xrightarrow{\sim} H_{\text{dR}}^1(\mathcal{P}/\tilde{\mathcal{T}}_{\mathbb{C}})$ of vector bundles with connection descends to $H_{\text{dR}}^3(\mathcal{Z}/\tilde{\mathcal{T}}_{\mathbb{Q}}) \xrightarrow{\sim} H_{\text{dR}}^1(\mathcal{P}/\tilde{\mathcal{T}}_{\mathbb{Q}})$; and*
- (c) *for each odd prime p , there is a canonical isomorphism $H_{\text{cris}}^3(\mathcal{Z}/\tilde{\mathcal{T}}_{\mathbb{F}_p}) \xrightarrow{\sim} H_{\text{cris}}^1(\mathcal{P}/\tilde{\mathcal{T}}_{\mathbb{F}_p})$ of isocrystals on $\tilde{\mathcal{T}}_{\mathbb{F}_p}$.*

Proof. Part (a) is [11, Prop. 3.4].

For part (b), the canonical isomorphism of vector bundles on $\tilde{\mathcal{T}}_{\mathbb{C}}$ may be described as follows. The transcendental construction of the intermediate Jacobian yields an isomorphism

$$R^3 h_* \mathbb{Z}(1) \xrightarrow{\sim} R^1 j_* \mathbb{Z}$$

of polarized variations of Hodge structure on $\tilde{\mathcal{T}}_{\mathbb{C}}$. Tensoring with the structure sheaf gives an isomorphism

$$H_{\text{dR}}^3(\mathcal{Z}/\tilde{\mathcal{T}}_{\mathbb{C}}) \xrightarrow{\alpha_{\mathbb{C}}} H_{\text{dR}}^1(\mathcal{P}/\tilde{\mathcal{T}}_{\mathbb{C}}).$$

This isomorphism is compatible with the Gauss-Manin connection, and takes the cup product on $H_{\text{dR}}^3(\mathcal{Z}/\tilde{\mathcal{T}}_{\mathbb{C}})$ to the polarization form on $H_{\text{dR}}^1(\mathcal{P}/\tilde{\mathcal{T}}_{\mathbb{C}})$.

Abstractly, one knows that there is *some* horizontal isomorphism $\beta : H_{\text{dR}}^3(\mathcal{Z}/\tilde{\mathcal{T}}_{\mathbb{Q}}) \xrightarrow{\sim} H_{\text{dR}}^1(\mathcal{P}/\tilde{\mathcal{T}}_{\mathbb{Q}})$ of vector bundles on $\tilde{\mathcal{T}}_{\mathbb{Q}}$; Deligne conjectures [11, Conj. 2.10] that $\alpha_{\mathbb{C}}$ itself descends.

Deligne further gives several equivalent formulations of this conjecture [11, p. 247]. On one hand, it suffices to show that $\beta_{\mathbb{C}}$ identifies rational cohomology classes on \mathcal{Z} with rational cohomology classes on \mathcal{P} ; this in turn would follow from the Hodge conjecture. On the other hand, the isomorphism β takes the cup product to some multiple $\mu(\beta) \in \mathbb{Q}^\times$ of the polarization form. This multiple is necessarily constant on all of $\tilde{\mathcal{T}}_{\mathbb{Q}}$, and the class of $\mu(\beta)$ in $\mathbb{Q}^\times / (\mathbb{Q}^\times)^2$ is independent of the actual choice of \mathbb{Q} -multiple of β . The isomorphism $\alpha_{\mathbb{C}}$ descends to an isomorphism of vector bundles on $\tilde{\mathcal{T}}_{\mathbb{Q}}$ if and only if $\mu(\beta)$ is a square.

Since $\mu(\beta)$ is constant on $\tilde{\mathcal{T}}_{\mathbb{Q}}$, to prove (b) it now suffices to prove the Hodge conjecture for a single member of the family $\mathcal{Z} \rightarrow \tilde{\mathcal{T}}_{\mathbb{Q}}$. This is supplied by Ran [29] and Shioda [30], who prove the Hodge conjecture for the Fermat cubic threefold.

Part (c) follows immediately from (b); after tensoring coefficients with \mathbb{Q}_p , the crystalline cohomology of a smooth proper family $Y \rightarrow S/\mathbb{F}_p$,

thought of as a module with connection, may be computed using the de Rham cohomology of a smooth proper lift to characteristic zero [28, Thm. 3.10]. \square

3.4. Prym schemes. Theorem 3.3 proceeds through a Prym construction. It is presumably well-known that one can associate a principally polarized abelian scheme to any étale double cover of relative curves over a base on which 2 is invertible. For want of a suitable reference, details are provided here.

Let $X \rightarrow S$ be an abelian scheme over a connected base, with dual abelian scheme \hat{X} . A line bundle \mathcal{L} on X defines a morphism $\phi_{\mathcal{L}} : X \rightarrow \hat{X}$. A polarization of X is an isogeny $\lambda : X \rightarrow \hat{X}$ which, étale-locally on S , is of the form $\phi_{\mathcal{L}}$ for some ample \mathcal{L} .

Now suppose that Y is a sub-abelian scheme of X , with inclusion map $\iota : Y \hookrightarrow X$. A polarization λ on X induces a polarization λ_Y of Y . As a map of abelian schemes, it is given by $Y \xrightarrow{\iota} X \xrightarrow{\lambda} \hat{X} \xrightarrow{\hat{\iota}} \hat{Y}$. If $\lambda = \phi_{\mathcal{L}}$ for some ample line bundle \mathcal{L} , then $\iota^*\mathcal{L}$ is ample on Y and $\lambda_Y = \phi_{\iota^*\mathcal{L}}$. Since λ_Y is a surjective map of smooth group schemes, it is flat [23, Lem. 6.12]. In particular, $\ker \lambda_Y$ is a finite flat group scheme. The exponent of Y (as a sub-abelian scheme of the polarized abelian scheme X) is the smallest natural number $e = e(Y \hookrightarrow X, \lambda)$ which annihilates $\ker \lambda_Y$.

Lemma 3.6. *Let $\iota : Y \hookrightarrow X \rightarrow S$ be an inclusion of abelian schemes over a reduced, connected base. Let λ be a principal polarization, and suppose that $e = e(Y \hookrightarrow X, \lambda)$ is invertible on S . Then there is a complement for Y inside X .*

Proof. Let $[e]_Y$ denote the multiplication-by- e map on Y . Since $\ker \lambda_Y \subseteq \ker [e]_Y$, there exists a morphism $\mu_Y : \hat{Y} \rightarrow Y$ such that $\mu_Y \circ \lambda_Y = [e]_Y$. Consider the norm endomorphism $N_Y \in \text{End}(X)$ given by

$$X \xrightarrow{\lambda} \hat{X} \xrightarrow{\hat{\iota}} \hat{Y} \xrightarrow{\mu_Y} Y \xrightarrow{\iota} X.$$

The image $N_X(X)$ is Y . Moreover, the argument of [7, p. 125] shows that $N_X|_Y = [e]_Y$. (While the cited result is only claimed for complex abelian varieties, the key calculation [7, Lem. 5.3.1] relies only on the symmetry of the polarization morphism λ .)

Consider the morphism $M_Y := \mu_Y \circ \hat{\iota} \circ \lambda : X \rightarrow Y$ of abelian schemes over S . We will show that M_Y is smooth. Since M_Y is a surjective morphism of smooth group schemes, it is flat. Consequently, in order to show M_Y is smooth, it suffices to show that for each point $s \in S$, the morphism $X_s \rightarrow Y_s$ is smooth [16, IV₄17.5.1]. Because S is reduced, it now suffices to prove the claim in the special case where $S = \text{Spec } K$ is the spectrum of a field in which e is invertible. Because M_Y is a homomorphism of group schemes over a field, it suffices to verify its smoothness at a single point [13, VI.1.3]. The respective images 0_X and 0_Y of the identity sections of X and Y are each, as S -schemes, isomorphic to $\text{Spec } K$ itself. Therefore, in order to show M_Y is smooth, it suffices to show that the induced map on tangent spaces

$T_X(\mathbf{0}_X) \rightarrow T_Y(\mathbf{0}_Y)$ is surjective [16, IV₄17.11.1]. This last claim is now obvious; because e is invertible in K , the differential $[e]_* : T_Y(\mathbf{0}_Y) \rightarrow T_Y(\mathbf{0}_Y)$ is already surjective.

In particular, $M_Y : X \rightarrow Y$ is separable, and thus its kernel $Z := X \times_Y \mathbf{0}_Y$ is a reduced group scheme over S . Its connected component of identity is the sought-for abelian scheme. \square

Lemma 3.7. *Let S be a reduced scheme on which 2 is invertible, and let $\tilde{C} \rightarrow C/S$ be an étale double cover of smooth proper relative curves over S . Then there is a principally polarized complement for $\text{Pic}^0(C)$ inside $\text{Pic}^0(\tilde{C})$.*

Proof. The relative Picard scheme $\text{Pic}^0(\tilde{C})$ is an abelian scheme over S with canonical principal polarization λ . The cover of curves yields a canonical inclusion $\text{Pic}^0(C) \hookrightarrow \text{Pic}^0(\tilde{C})$, and the exponent of $\text{Pic}^0(C)$ is 2. (The exponent may be computed at any (geometric) point of each component of S , in which case the result is classical [22, Cor. 1].) By Lemma 3.6, there exists a complement Z to $\text{Pic}^0(C)$ inside $\text{Pic}^0(\tilde{C})$. Moreover, the polarization on Z induced by λ is twice a principal polarization [22, Cor. 2]. \square

4. CUBIC SURFACES

We now resume working in the category of schemes over $\mathcal{O}_E[1/6]$. As noted in the introduction, to a cubic surface one can associate a cubic threefold. By composing this morphism with $\tilde{\omega} : \tilde{\mathcal{T}} \rightarrow \mathcal{A}_5$ (Corollary 3.4), one obtains a morphism $\tilde{\mathcal{S}} \rightarrow \mathcal{A}_5$. On one hand, the abelian fivefolds thus obtained have an action by $\mathbb{Z}[\zeta_3]$, and the image of this morphism is contained in \mathcal{M} . On the other hand, the main result of the present section is that this morphism actually descends to a morphism of stacks $\mathcal{S} \rightarrow \mathcal{M}$ (Proposition 4.5) which is injective on points. This is accomplished by introducing rigidifying structures on both sides; a choice of marking of the 27 lines on a cubic surface is tantamount to a level $(1 - \zeta_3)$ -structure on the associated abelian variety.

4.1. Action of $\mathbb{Z}[\zeta_3]$ on $P(Y)$. There is a morphism of stacks

$$\mathcal{S} \xrightarrow{\phi} \mathcal{T}$$

which sends a cubic surface Y over an affine scheme $\text{Spec } A$ to the cyclic degree-three cover $Z = F(Y)$ of \mathbb{P}_A^3 which is ramified over Y . (In the general case of a cubic surface Y inside a projective S -bundle $\mathbb{P}V$, $F(Y)$ is defined by glueing on the base.) We will typically denote this cover by $\pi_Y : Z \rightarrow \mathbb{P}^3$. Note that, by construction, $\text{Aut}(F(Y)/\mathbb{P}^3) \cong \mathbb{Z}/3$, say with generator γ .

Lemma 4.1. *Suppose S is a normal Noetherian scheme and $Y \in \mathcal{S}(S)$. Then $P(F(Y))$ admits multiplication by $\mathbb{Z}[\zeta_3]$.*

Proof. Let X/S be any abelian scheme, and let $U \subset S$ be a nonempty open subscheme. Since endomorphisms of $X|_U$ extend to X , it suffices to prove the result when $S = \operatorname{Spec} K$ is the spectrum of a field. Let $Z = F(Y)$, and let $\pi_Y : Z \rightarrow \mathbb{P}^3$ be the cyclic cubic cover of \mathbb{P}^3 with branch locus Y .

The automorphism γ induces an automorphism γ^* of $A^2(Z_K)$. Moreover, γ^* acts nontrivially, for otherwise $\pi_Y^* : A^2(\mathbb{P}_K^3) \rightarrow A^2(Z_K)$ would be an isomorphism. The universal property of $P(Z_K)$, applied to $\alpha \circ \gamma^* : A^2(Z) \rightarrow P(Z_K)$, shows that γ induces a nontrivial automorphism of $P(Z_K)$ of order three. By descent, γ induces an automorphism of $P(Z)$, and $\mathbb{Z}[\zeta_3] \subseteq \operatorname{End}_K(Z)$.

It remains to check that $1 \in \mathbb{Z}[\zeta_3]$ acts as $[1]_{P(Z)} \in \operatorname{End}(P(Z))$. Any point in \tilde{T} of positive characteristic is the specialization of a point in characteristic zero. Since the characteristic polynomial of an endomorphism of an abelian variety is constant in families, it suffices to verify that 1 acts as $[1]_{P(Z)}$ when K has characteristic zero. This claim follows from, e.g., Proposition 3.5 and [2, 2.2]. \square

Remark 4.2. For a suitably generic cubic surface Y over an algebraically closed field k , it is possible to visualize the action of γ , as follows. If Y is generic, then if a plane in \mathbb{P}^3 intersects Y in two lines, then it does so in three distinct lines. In particular, no plane in \mathbb{P}^3 tangent to Y is tangent along an entire line of Y . So, let $L_0 \subset Y$ be one of the 27 lines, and let $L = \pi_Y^{-1}(L_0) \subset Z$. Since π_Y is ramified over Y , L is a line inside Z , and in fact $L \in F_Z^{\operatorname{good}}(k)$. Moreover, γ acts on F (by pullback) and fixes L (since L is supported in the ramification locus of π_Y). Then $\tilde{\Delta}_L$ and Δ_L are each stable under the action of γ , and $\mathbb{Z}[\zeta_3]$ acts on each of $\operatorname{Pic}^0(\tilde{\Delta}_L)$ and $\operatorname{Pic}^0(\Delta_L)$. The map $\tilde{\Delta}_L \rightarrow \Delta_L$ is $\langle \gamma \rangle$ -equivariant, and $\mathbb{Z}[\zeta_3]$ acts on the Prym variety $P(\tilde{\Delta}_L/\Delta_L)$.

Lemma 4.3. *If S is a normal Noetherian scheme and if $Y \in \mathcal{S}(S)$, then the signature of $P(F(Y))$ is $(4, 1)$.*

Proof. Since the signature of an \mathcal{O}_E action is constant in families (on which the discriminant of \mathcal{O}_E is invertible), and since \mathcal{S} is irreducible, it suffices to verify the claim at a single point. A direct Hodge-theoretic calculation [2, Lem. 2.6] shows that the Prym variety associated to any complex cubic surface has signature $(4, 1)$. \square

4.2. Markings and level structure. Suppose that k is algebraically closed and $Y \in \mathcal{S}(k)$, and as usual let $\pi_Y : Z = F(Y) \rightarrow \mathbb{P}^3$ be the cyclic cubic cover of \mathbb{P}^3 ramified along Y . If L_1 and L_2 are lines in Y , then the cycle $[L_1] - [L_2]$ is algebraically trivial in \mathbb{P}^3 , and thus $\pi_Y^*([L_1] - [L_2]) \in A^2(Z)$. Let $\tilde{L}_i = \pi_Y^{-1}(L_i)$ for $i \in \{1, 2\}$. Then $\pi_Y^*[L_i] = 3[\tilde{L}_i]$. Since $A^2(Z)$ is a divisible group [4, Lem. 0.1.1], $[\tilde{L}_1] - [\tilde{L}_2] \in A^2(Z)$. Moreover, since each L_i is supported in the ramification locus of π_Y , \tilde{L}_1 and \tilde{L}_2 are fixed by γ^* .

By functoriality of the Prym construction, $\alpha([\tilde{L}_1] - [\tilde{L}_2]) \in P(Z)[1 - \zeta_3](k)$, the kernel on $P(Z)$ of multiplication by $1 - \zeta_3$.

More generally, suppose Y/S is a smooth cubic surface over a scheme S . If L_1 and L_2 are relative lines in Y , then the difference $[\tilde{L}_1] - [\tilde{L}_2]$ of the classes of their inverse images under π_Y corresponds to a section of $P(Z)[1 - \zeta_3]$.

As in [18, p.755], fix isomorphisms $\mathbb{W} \cong \text{Aut}(\Lambda_0)$ and $\mathbb{W} \cong \text{PO}(V_0, q_0)$.

Lemma 4.4. *Suppose $(Y, \Psi) \in \mathcal{S}^m(S)$, and let $P = P(F(Y))$. For $1 \leq i \leq 5$, let $v_i = \alpha([\Psi^{-1}(e_i)] - [\Psi^{-1}(\ell_{i6})]) \in P(S)$. Then $\{v_1, \dots, v_5\}$ is an orthonormal basis for $P[1 - \zeta_3](S)$.*

Proof. Since (Y, Ψ) is the pullback of the universal marked cubic surface over \mathcal{S}^m , and since \mathcal{S}^m is itself a normal Noetherian quasiprojective scheme, we may assume that S is normal Noetherian, and even irreducible. Since 3 is invertible on S , the group scheme $P[1 - \zeta_3]$ is étale over S . Therefore, it suffices to show there is some point $s \in S$ such that $\{v_{1,s}, \dots, v_{5,s}\}$ is an orthonormal basis for $P[1 - \zeta_3]_s(s)$. Since $\mathcal{S} \rightarrow \mathcal{O}_E[1/6]$ is flat, possibly after replacing S with a lift to characteristic zero, we may assume that S has a point whose residue field has characteristic zero. The desired result is then [18, Prop. 5.5]. \square

Proposition 4.5. (a) *There is a \mathbb{W} -equivariant radicial morphism*

$$\tau^m : \mathcal{S}^m \longrightarrow \mathcal{M}^{(1-\zeta_3)}$$

of schemes over $\mathcal{O}_E[1/6]$.

(b) *There is a morphism*

$$\tau : \mathcal{S} \longrightarrow \mathcal{M}$$

of stacks over $\mathcal{O}_E[1/6]$ which is injective on points and specializes to the complex period map of [2].

Proof. The essential content is already present in Lemma 4.4. If S is a normal Noetherian scheme and (Y, Ψ) is a marked cubic surface over S , then the image of the moduli point of (Y, Ψ) is that of the abelian scheme $P(F(Y))$ with its canonical polarization and \mathcal{O}_E -action, with level structure $\Phi : v_i \mapsto \alpha([\Psi^{-1}(e_i)] - [\Psi^{-1}(\ell_{i6})])$. By applying this construction to \mathcal{S}^m itself we obtain a \mathcal{S}^m -valued point of $\mathcal{M}^{(1-\zeta_3)}$, i.e., a morphism $\mathcal{S}^m \rightarrow \mathcal{M}^{(1-\zeta_3)}$. The \mathbb{W} -equivariance is worked out in detail by Matsumoto and Terasoma; see [18, Sec. 3.2, Prop. 5.5]. Beauville's Torelli-type theorem [5, Cor. on p.205] shows that this morphism is injective on geometric points. Therefore, τ^m is radicial, i.e., injective on K -points for any field K admitting a map $\mathcal{O}_E[1/6] \rightarrow K$. This proves (a).

For (b), use part (a) and the identifications $\mathcal{S} = \mathcal{S}^m/\mathbb{W}$ and $\mathcal{M} = \mathcal{M}^{(1-\zeta_3)}/\mathbb{W}$. The fact that $\tau_{\mathbb{C}}$ specializes to the period map of [2] follows from the known isomorphism (Section 3.1) between the Prym $P(Z)$ and the intermediate Jacobian $J(Z)$ of a smooth complex cubic threefold. \square

Thus, we have constructed morphisms $\tilde{\omega}$ and τ as follows:

$$(4.2.1) \quad \begin{array}{ccccc} \tilde{\mathcal{S}} & \xrightarrow{\tilde{\phi}} & \tilde{\mathcal{T}} & & \\ \downarrow & & \downarrow & \searrow \tilde{\omega} & \\ \mathcal{S} & \xrightarrow{\phi} & \mathcal{T} & & \mathcal{A}_5 \\ & \searrow \tau & \nearrow \lambda & & \\ & \mathcal{M} & & & \end{array}$$

Since \mathcal{S} and \mathcal{M} have irreducible four-dimensional fibers over $\mathrm{Spec} \mathcal{O}_E[1/6]$, and since τ is radicial, it follows that the image of τ is open in \mathcal{M} . Describing this image precisely requires a consideration of the compactification of τ .

5. CHARACTERIZATION OF THE IMAGE

The goal of the present section is to extend τ to a homeomorphism $\mathcal{S}_{\mathrm{st}} \rightarrow \mathcal{M}$ (Theorem 5.7). In particular, the complement of $\tau(\mathcal{S})$ in \mathcal{M} is an irreducible horizontal divisor which corresponds to cubic surfaces which are stable but not smooth.

Lemma 5.1. *The complement $\tilde{\mathcal{S}}_{\mathrm{st}} \setminus \tilde{\mathcal{S}}$ is an irreducible horizontal divisor in $\tilde{\mathcal{S}}_{\mathrm{st}}$.*

Proof. We need to show that if $s \in \mathrm{Spec} \mathcal{O}_E[1/6]$, then $(\tilde{\mathcal{S}}_{\mathrm{st}} \setminus \tilde{\mathcal{S}})_s$ is an irreducible divisor in $\tilde{\mathcal{S}}_{\mathrm{st},s}$. This is [6, Prop. 6.7]; while the result is claimed only for $(\tilde{\mathcal{S}}_{\mathrm{st}} \setminus \tilde{\mathcal{S}})_{\mathbb{C}}$, the argument given there is valid over an arbitrary base field. \square

Let $\tilde{\tau}$ be the composition $\tilde{\mathcal{S}} \rightarrow \mathcal{S} \xrightarrow{\tau} \mathcal{M}$.

Lemma 5.2. *The Torelli map $\tilde{\tau} : \tilde{\mathcal{S}} \rightarrow \mathcal{M}$ extends to $\tilde{\tau} : \tilde{\mathcal{S}}_{\mathrm{st}} \rightarrow \mathcal{M}$.*

Proof. The existence of $\tilde{\mathcal{S}}_{\mathrm{st},\mathbb{C}} \rightarrow \mathcal{M}_{\mathbb{C}}$ is [2, Thm. 3.17]. By descent (e.g., [17, 15.8]) one obtains $\tilde{\mathcal{S}}_{\mathrm{st},E} \rightarrow \mathcal{M}_E$.

Now let $\mathfrak{p} \subset \mathcal{O}_E[1/6]$ be a nonzero prime ideal, and let $\mathcal{O}_{E,(\mathfrak{p})}$ be the localization at \mathfrak{p} ; it is an unramified discrete valuation ring of mixed characteristic. Let $[\mathfrak{p}]$ be the closed point of $\mathrm{Spec} \mathcal{O}_{E,(\mathfrak{p})}$. Finally, let $S = \tilde{\mathcal{S}}_{\mathrm{st}} \times \mathrm{Spec} \mathcal{O}_{E,(\mathfrak{p})}$, and let $T = (\tilde{\mathcal{S}}_{\mathrm{st}} \setminus \tilde{\mathcal{S}})_{[\mathfrak{p}]}$. Then S is smooth, and T is a closed subscheme of codimension two supported over the closed point of $\mathrm{Spec} \mathcal{O}_{E,(\mathfrak{p})}$. A result of Faltings [21, Lemma 3.6] shows that the abelian scheme over $S \setminus T$ extends uniquely to one over all of S . Since the \mathcal{O}_E -action also extends to this abelian scheme, and the signature is constant, we obtain a morphism $S \rightarrow \mathcal{M}$. Glueing yields the desired extension $\tilde{\mathcal{S}}_{\mathrm{st}} \rightarrow \mathcal{M}$. \square

Lemma 5.3. *The marked Torelli map $\tau^m : \mathcal{S}^m \rightarrow \mathcal{M}^{(1-\zeta_3)}$ extends to $\mathcal{S}_{\text{st}}^m \rightarrow \mathcal{M}^{(1-\zeta_3)}$ and to $\mathcal{S}_{\text{ss}}^m \rightarrow \tilde{\mathcal{M}}^{(1-\zeta_3)}$. The Torelli map $\tau : \mathcal{S} \rightarrow \mathcal{M}$ extends to $\mathcal{S}_{\text{st}} \rightarrow \mathcal{M}$ and to $\mathcal{S}_{\text{ss}} \rightarrow \tilde{\mathcal{M}}$.*

Proof. As in Section 2.1, let $\underline{\mathcal{S}}_{\text{st}}$ be the underlying coarse moduli scheme of \mathcal{S}_{st} , and similarly define $\underline{\mathcal{M}}$. (Recall that $\mathcal{S}_{\text{st}}^m$ is itself already a scheme.) Since the morphism $\tilde{\tau} : \tilde{\mathcal{S}}_{\text{st}} \rightarrow \mathcal{M}$ of Lemma 5.2 is constant on the fibers of $\tilde{\mathcal{S}}_{\text{st}} \rightarrow \underline{\mathcal{S}}_{\text{st}}$, we have a map of normal quasiprojective schemes $\underline{\tau} : \underline{\mathcal{S}}_{\text{st}} \rightarrow \underline{\mathcal{M}}$. Via the projection $\mathcal{S}_{\text{st}}^m \rightarrow \underline{\mathcal{S}}_{\text{st}}$, we obtain a dominant morphism $\psi : \mathcal{S}_{\text{st}}^m \rightarrow \underline{\mathcal{M}}$ which, as a rational map, factors through $\mathcal{M}^{(1-\zeta_3)}$. Therefore, as a morphism of schemes, ψ factors through the normalization of $\underline{\mathcal{M}}$ in $\mathcal{M}^{(1-\zeta_3)}$; since $\mathcal{M}^{(1-\zeta_3)}$ is already a normal scheme, ψ factors through $\tau^m : \mathcal{S}_{\text{st}}^m \rightarrow \mathcal{M}^{(1-\zeta_3)}$.

This proves the first part of the claim. The extension of τ^m to \mathcal{S}_{ss} follows from [16, IV₄.20.4.12]; by choosing an affine neighborhood of each cusp, we have a rational map defined on an open subset of a normal affine scheme whose complement has codimension greater than one.

Finally, the extension of τ follows from the \mathbb{W} -equivariance of τ^m . \square

Ultimately, it will turn out that τ is injective on points. As a preliminary step, we will show that τ preserves the stratification $\mathcal{S} \subsetneq \mathcal{S}_{\text{st}} \subsetneq \mathcal{S}_{\text{ss}}$.

Lemma 5.4. *We have $\tau(\mathcal{S}) \cap \tau(\mathcal{S}_{\text{st}} \setminus \mathcal{S}) = \emptyset$ and $\tau(\mathcal{S}_{\text{st}}) \cap \tau(\mathcal{S}_{\text{ss}} \setminus \mathcal{S}_{\text{st}}) = \emptyset$.*

Proof. The unique point of $(\mathcal{S}_{\text{ss}} \setminus \mathcal{S}_{\text{st}})(\mathbb{C})$ is sent to the unique cusp of $\tilde{\mathcal{M}}_{\mathbb{C}}$, and thus the same is true for every geometric fiber of $\mathcal{S}_{\text{ss}} \rightarrow \text{Spec } \mathcal{O}_E[1/6]$. Since $\tau(\mathcal{S}_{\text{st}}) \subset \mathcal{M}$, the second claim follows immediately.

The first claim will be achieved using the explicit nature of the Torelli theorem for cubic threefolds [5]. Suppose Z/k is a smooth cubic threefold over an algebraically closed field, with principally polarized Prym variety (X, λ) . Consider the theta divisor $\Theta \subset X$ associated to λ . Then Θ has a unique singularity, which is a triple point; and Z (actually, its affine cone) may be reconstructed as the tangent cone at that singularity.

Moreover, if $k = \mathbb{C}$, a converse is available [9]; a principally polarized abelian fivefold (X, λ) is the Prym variety of a smooth cubic threefold if and only if its theta divisor has a unique singularity, which is a singularity of order three.

In fact, the theta divisor of a stable, but not smooth, cubic threefold must be more singular than that of a smooth cubic threefold, as follows.

Let Y/\mathbb{C} be a nodal stable cubic surface. Then $Z = F(Y)$ is a nodal stable cubic threefold. Because Z can be realized as a degeneration of smooth cubic threefolds, the theta divisor Θ of $(P(Z), \lambda)$ has at least one singular point of order at least three. In fact, either Θ has a second singular point, or its unique singularity has order greater than three; for if not, then by [9], $(P(X), \lambda)$ would also be the Prym of a smooth cubic threefold (still with suitable $\mathbb{Z}[\zeta_3]$ -action), which would contradict the known injectivity of $\tau_{\mathbb{C}}$ on $\mathcal{S}_{\text{st}, \mathbb{C}}$ [2, Thm. 3.17].

Similarly, let Y/k be a nodal stable cubic surface over a field of characteristic at least 5. Let R be a mixed characteristic discrete valuation ring with residue field k ; let $K = \text{Frac } R$ be its fraction field. Lift Y to a cubic surface \tilde{Y}/R such that \tilde{Y}_K is also nodal stable. We have seen that the theta divisor of the principally polarized abelian variety $P(F(\tilde{Y}_K))$ either has at least two singular points, or has one singularity of order greater than three. The same is necessarily true of $P(F(\tilde{Y}_k)) = P(F(Y))$, its specialization.

In summary, the geometry of the theta divisor means that the Prym variety of a nodal stable cubic surface cannot also be the Prym variety of a smooth cubic surface. \square

Let \mathcal{N} be the image of \mathcal{S} under τ , and let \mathcal{D} be its complement. Then $\mathcal{N}^{(1-\zeta)} := \mathcal{N} \times_{\mathcal{M}} \mathcal{M}^{(1-\zeta)}$ is the image of \mathcal{S}^m under τ^m , with complement $\mathcal{D}^{(1-\zeta)}$.

Lemma 5.5. *The marked Torelli morphism maps \mathcal{S}^m isomorphically onto its image $\mathcal{N}^{(1-\zeta)}$.*

Proof. Because $\tau^m|_{\mathcal{S}^m}$ is radicial (Proposition 4.5) and of finite type, it is quasifinite. Since \mathcal{S}_{ss}^m is projective, τ^m is projective. Lemma 5.4 shows that $\mathcal{S}_{ss}^m \times_{\mathcal{M}^{(1-\zeta)}} \mathcal{N}^{(1-\zeta)}$ is \mathcal{S}^m (and not larger), and thus $\tau^m|_{\mathcal{S}^m}$ is proper, thus finite.

Now, $\mathcal{N}^{(1-\zeta)}$ is smooth, and in particular its local rings are unique factorization domains. By computing locally on $\mathcal{N}^{(1-\zeta)}$, we see that the finite morphism $\tau^m|_{\mathcal{S}^m}$ is necessarily flat [19, Thm. 22.6]. In particular, its degree is constant. Since that degree is known to be one in characteristic zero [2, Thm. 3.17], $\tau^m|_{\mathcal{S}^m}$ is an isomorphism onto its image. \square

Lemma 5.6. *The marked Torelli morphism $\tau^m : \mathcal{S}_{ss}^m \rightarrow \bar{\mathcal{M}}^{(1-\zeta_3)}$ is an isomorphism.*

Proof. Each of $\mathcal{S}_{ss}^m \rightarrow \text{Spec } \mathcal{O}_E[1/6]$ and $\bar{\mathcal{M}}^{(1-\zeta_3)} \rightarrow \text{Spec } \mathcal{O}_E[1/6]$ has four-dimensional geometric fibers. Lemma 5.5 implies that, fiberwise on $\text{Spec } \mathcal{O}_E[1/6]$, τ^m is a birational morphism. Moreover, over the generic fiber of $\text{Spec } \mathcal{O}_E[1/6]$, τ^m is an isomorphism [2, Thm. 3.17]. In particular, τ^m is a birational morphism.

Let σ be the inverse of τ^m in the category of rational maps. Suppose $\mathfrak{p} \subset \mathcal{O}_E[1/6]$ is a nonzero prime ideal. The locus of indeterminacy of $\sigma|_{\mathfrak{p}}$ has positive codimension in $\bar{\mathcal{M}}_{[\mathfrak{p}]}^{(1-\zeta_3)}$, and thus the locus of indeterminacy of σ on $\bar{\mathcal{M}}^{(1-\zeta_3)} \times \text{Spec } \mathcal{O}_{E,(\mathfrak{p})}$ has codimension at least two. Since $\bar{\mathcal{M}}^{(1-\zeta_3)} \times \text{Spec } \mathcal{O}_{E,(\mathfrak{p})}$ is normal and τ^m is proper, σ is defined on all of $\bar{\mathcal{M}}^{(1-\zeta_3)}$ and τ^m is biregular. \square

Theorem 5.7. *The Torelli morphisms τ and τ^m give rise to the commutative diagram*

$$\begin{array}{ccccc}
 \mathcal{S}^m & \xrightarrow{\quad} & \mathcal{N}^{(1-\zeta_3)} & & \\
 \downarrow & \searrow & \downarrow & \searrow & \\
 & \mathcal{S} & \xrightarrow{\quad} & \mathcal{N} & \\
 \downarrow & \downarrow & \downarrow & \downarrow & \\
 \mathcal{S}_{\text{st}}^m & \xrightarrow{\quad} & \mathcal{M}^{(1-\zeta_3)} & & \\
 \downarrow & \searrow & \downarrow & \searrow & \\
 & \mathcal{S}_{\text{st}} & \xrightarrow{\quad} & \mathcal{M} & \\
 \downarrow & \downarrow & \downarrow & \downarrow & \\
 \mathcal{S}_{\text{ss}}^m & \xrightarrow{\quad} & \tilde{\mathcal{M}}^{(1-\zeta_3)} & & \\
 \downarrow & \searrow & \downarrow & \searrow & \\
 & \mathcal{S}_{\text{ss}} & \xrightarrow{\quad} & \tilde{\mathcal{M}} &
 \end{array}$$

in which all vertical arrows are the natural inclusions; all diagonal arrows are quotients by the action of \mathbb{W} ; all horizontal arrows are homeomorphisms; the rear horizontal arrows are isomorphisms of schemes; and the top front horizontal arrow is an isomorphism of stacks.

Proof. The assertions for the top (horizontal) square follow from Lemma 5.5 and \mathbb{W} -equivariance; those for the bottom, from Lemma 5.6. Every geometric fiber of $\tilde{\mathcal{M}} \setminus \mathcal{M}$ consists of a single point, as does each geometric fiber of $\mathcal{S}_{\text{ss}} \setminus \mathcal{S}_{\text{st}}$. Consequently, the image of \mathcal{S}_{st} under τ is exactly \mathcal{M} , which finishes the proof of the theorem. \square

Corollary 5.8. *Let \mathcal{D} be the complement of \mathcal{N} in \mathcal{M} . Then \mathcal{D} is an irreducible horizontal divisor.*

In fact, \mathcal{D} is a special cycle in the sense of Kudla and Rapoport [17].

Proof. By Theorem 5.7 and Lemma 5.1, \mathcal{D} is, fiberwise on $\text{Spec } \mathcal{O}_E[1/6]$, a closed irreducible substack of \mathcal{M} . It therefore suffices to identify a closed

horizontal irreducible substack of \mathcal{N} which has codimension one in \mathcal{M} . The Prym variety associated to a smooth cubic threefold, and in particular to the triple cover of \mathbb{P}^3 ramified along a given smooth cubic surface, is irreducible as a principally polarized abelian variety [5, 10]. Therefore, it suffices to exhibit a horizontal divisor in \mathcal{M} which parametrizes reducible principally polarized abelian varieties with \mathcal{O}_E -action. Let $\mathcal{M}_{(3,1)}$ be the moduli stack of abelian fourfolds with action by \mathcal{O}_E of signature $(3, 1)$, and let $(X_0, \iota_0, \lambda_0)/\mathcal{O}_E[1/6]$ be the (unique, principally polarized) elliptic curve with action by \mathcal{O}_E of signature $(1, 0)$. There is a closed immersion of stacks $\mathcal{M}_{(3,1)} \rightarrow \mathcal{M}$; on S -points, it is given by $(X, \iota, \lambda) \mapsto ((X_0 \times S) \times X, \iota_0 \times \iota, \lambda_0 \times \lambda)$. Let \mathcal{D}' be the image of this morphism. Then every fiber of $\mathcal{D}' \rightarrow \mathcal{O}_E[1/6]$ has dimension 3, and $\mathcal{D} = \mathcal{D}'$ is the sought-for divisor. \square

Let (X, λ) be a principally polarized abelian variety over \mathbb{C} of dimension five. Recall that Caslaina-Martin and Friedman have shown [9] that (X, λ) is the intermediate Jacobian of a smooth cubic threefold if and only if its theta divisor has a unique singularity, and that singularity has order three. Their result implies an analogous result for abelian varieties with $\mathbb{Z}[\zeta_3]$ -action, which is valid in all characteristics:

Corollary 5.9. *Let $(X, \iota, \lambda) \in \mathcal{M}(k)$ be a principally polarized abelian fivefold with action by $\mathbb{Z}[\zeta_3]$. Then either the theta divisor of (Z, λ) has a unique singularity, and that singularity is of order three, in which case $(X, \lambda) = P(F(Y))$ for a smooth cubic surface Y ; or the theta divisor has two singular points, or one singularity of degree at least four, in which case $(X, \lambda) = P(F(Y))$ for a nodal cubic surface Y . In each case, Y is determined uniquely by (X, ι, λ) .*

Proof. By Theorem 5.7, if $(X, \iota, \lambda) \in \mathcal{M}(k)$, then there is a unique $Y \in \mathcal{S}_{\text{st}}(k)$ such that $X = P(F(Y))$. The characterization of the smoothness of Y in terms of the geometry of the theta divisor of (X, λ) was developed in the proof of Lemma 5.4. \square

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E-mail address: `j.achter@colostate.edu`

DEPARTMENT OF MATHEMATICS, COLORADO STATE UNIVERSITY, FORT COLLINS, CO
80523

URL: `http://www.math.colostate.edu/~achter`